Appendix 4

**SGE Price Asking Spot, Forward, and Swap Transactions**

**Application Form for Trading Privileges**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Member |  | | | | | | | |
| Member Code  (6 digits) |  | | | Seat Type | | □ Proprietary  □ Brokerage | | |
| Trading Privilege | □ Add □ Remove | | | | | | | |
| Price Asking Products | □ PAu99.99  □ iPAu99.99  □ Others: | | □ PAu99.95  □ iPAu99.5 | | | | | □ PAg99.99  □ iPAu100g |
| Contact Information | Name |  | | | Title | |  | |
| Phone |  | | | Mobile | |  | |
| Email |  | | | Postal C. | |  | |
| Address | | | | | | | |
| Name |  | | | Title | |  | |
| Phone |  | | | Mobile | |  | |
| Email |  | | | Postal C. | |  | |
| Address | | | | | | | |
| Other Business-Related Remarks  (If Applicable) |  | | | | | | | |
| Applicant’s Statement | After being granted access by Shanghai Gold Exchange to the SGE price asking transactions, we will have been bound by the agreements (if any) we have executed with Shanghai Gold Exchange as well as any normative documents released by the Shanghai Gold Exchange.  We undertake that we will prudentially control our customers’ access to price asking transactions to further the real economy, ensure compliance with national policies on related industries, and keep risks at manageable levels, and that we will enter into price asking brokerage agreements with our customers to specify the rights and obligations of both parties.  We understand that when Shanghai Gold Exchange provides clearing and settlement services at our instructions, it does not succeed to any rights or obligations under the agreement between the trading parties. | | | | | | | |
| Official Seal |  | | | | | | | |
| Date |  | | | | | | | |